Highlights on Grant, Contract, and Award Activity

Overview

Grants, contracts and awards to the University of Oregon reached an all-time high in Fiscal Year 1998-99, totaling $58,616,598. This represents a 28.6% increase, in the amount of $13 million, over the previous fiscal year. FY 99 was a banner year for extramural funding to the UO, with a 7% increase over the last highest year, FY 1996-97. Charts I and II show the distribution of funding by agency type. Tables I and II give multi-year summaries of funding by agency and sponsor type.

Federal support totaled $42,862,943, accounting for 73% of total award dollars. Federal funding increased by 18% over the prior fiscal year, and with sub-federal funding included, it increased nearly 43% over FY 98. Over 83% of direct federal awards were received from three agencies?the US Department of Education (USDE) which increased over the previous FY by 35% or $3 million; the National Science Foundation (NSF) which increased 8% or $740,000; and the US Department of Health & Human Services (DHHS) which increased 28% or $3.5 million. In past years, sub-federal funds awarded to the UO by prime recipients, such as state or local government, other universities, and various private and foreign organizations, were not separately accounted for as sub-federal in the awards tracking system. Identifying this kind of support in FY 99, we find that nearly 60% of the sub-federal awards originated from the US Department of Education.

Private foundations and corporations awarded nearly $3 million in support of research and programs. State of Oregon agencies made grants and contracts to the UO totaling $3.3 million, including direct and Sub-federal flow-through funds.

The College of Arts and Sciences received more than half of total awards (52%), amounting to $30.4 million, while the College of Education received $18.5 million or 32% of total awards. Chart III breaks out funding levels by campus unit. The University's major missions of instruction, research and public service are complementary and awards are often made that benefit more than one area. Chart IV attempts to differentiate funding received for these major areas, recognizing that a grant may support a combination: research (72.1%), instruction (6.6%), and public service projects (21.3%).

Proposal activity increased in FY 99 over the prior year, by $6 million in first-year requested funding levels. As Charts V and VI indicate, most proposals were submitted to federal agencies (83% of total dollars requested), of which 86% went to three agencies? USDE, NSF, and DHHS. Chart VII shows proposal dollars requested as new or renewal applications (72%), non-competing continuations (20%), and other types of applications.
(8%). The ratio of number of applications by agency type is shown in Chart VIII, with 65% of applications going to federal agencies.

Expenditures are reported based on account activity, or spending, during the fiscal year, which differs from the award amounts received for the same period. Tables III and IV track multi-year spending totals by campus unit, showing a constant upward trend over the past decade. Expenditures totaled $56.6 million in FY 98-99, a 4.9% increase over the prior year.

Table V shows expenditure levels by campus organizations?research centers and institutes combined for 62.5% of total expenditures while academic departments and other programs and offices accounted for 37.5%. Chart IX, on expenditures by cost category, shows that almost half of extramural support goes toward personnel costs ($26.6 million), with another $19 million for services, supplies, equipment and miscellaneous expenditures. The University's facilities and administrative (F&A) costs are charged on grants and contracts using appropriate rates under its negotiated agreement with DHHS. While the negotiated rates range from 26% to 50%, the actual F&A rate may be limited by statute or program guidelines for some awards. Chart IX shows $10.7 million was charged for facilities and administrative costs in FY 99; this equates to only 23% of total direct expense, well below the negotiated rates.

Support received for student research, training and instructional programs totaled $6.6 million. Of this total, the College of Education received just over $2 million in training support. The Institute of Neuroscience and Department of Biology/Ecology and Evolution received a training grant for $1.2 million from the National Science Foundation, one of only 21 such awards last year to integrate graduate education and research training. Individual faculty received fellowships and awards totaling over $300,000, including the NEH Fellowship for University Teachers, the John Simon Guggenheim Fellowship, and the NSF Career award.

*Sub-federal funds originate from the federal government and are awarded to the UO through the prime recipients, such as state or local government, other universities, and various private and foreign organizations. In past years, sub-federal funding was not separately identified in ORSA's awards tracking system.